



# Power Efficiency and Delay Tradeoff of Energy Efficient Ethernet Protocol

Xiaodan Pan, Tong Ye, Tony T. Lee, Weisheng Hu  
pxd0506@sjtu.edu.cn

State Key Lab of Advanced Optical Communications and Networks  
Shanghai Jiao Tong University



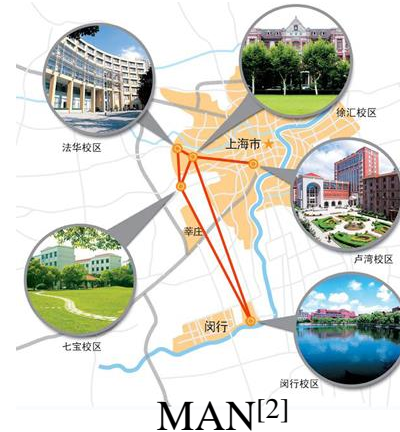
# Outline

- Background
- Energy Efficient Ethernet Protocol
- Vacation Model
- Power Efficiency
- P-K Formula of Mean Delay
- Tradeoff and Parameter Selections
- Conclusion

# Widely Applied Ethernets



Data Center<sup>[1]</sup>



MAN<sup>[2]</sup>



LAN



WAN<sup>[3]</sup>

[1] A. Greenberg, J.R. Hamilton, N. Jain, et al, "VL2: a scalable and flexible data center network," *Proc. ACM SIGCOMM*, 2009, pp. 51-62.

[2] M. Huynh, P. Mohapatra, "Metropolitan Ethernet Network: A move from LAN to MAN," *Computer Networks*, vol. 51, pp. 4867-4894, Dec 2007.

[3] A. Kasim, P. Adhikari, N. Chen, et al, "Ethernet: From LAN to the WAN," in *Delivering Carrier Ethernet*, 1<sup>st</sup> ed., New York: McGraw-Hill, 2007, pp.3-43.



# Growing of Ethernet Devices

- The number of devices is huge and still grows rapidly.



Unit: Billion RMB

Datas Source: CCW Research 2014/04

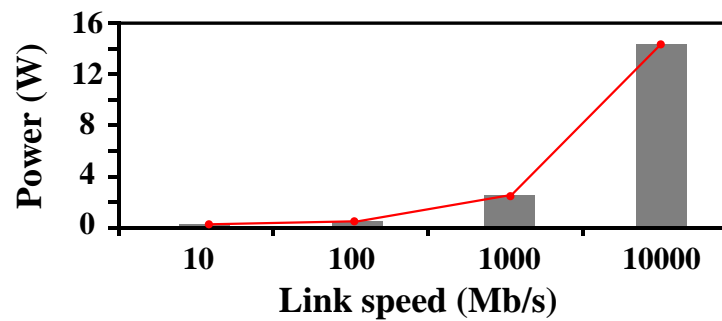
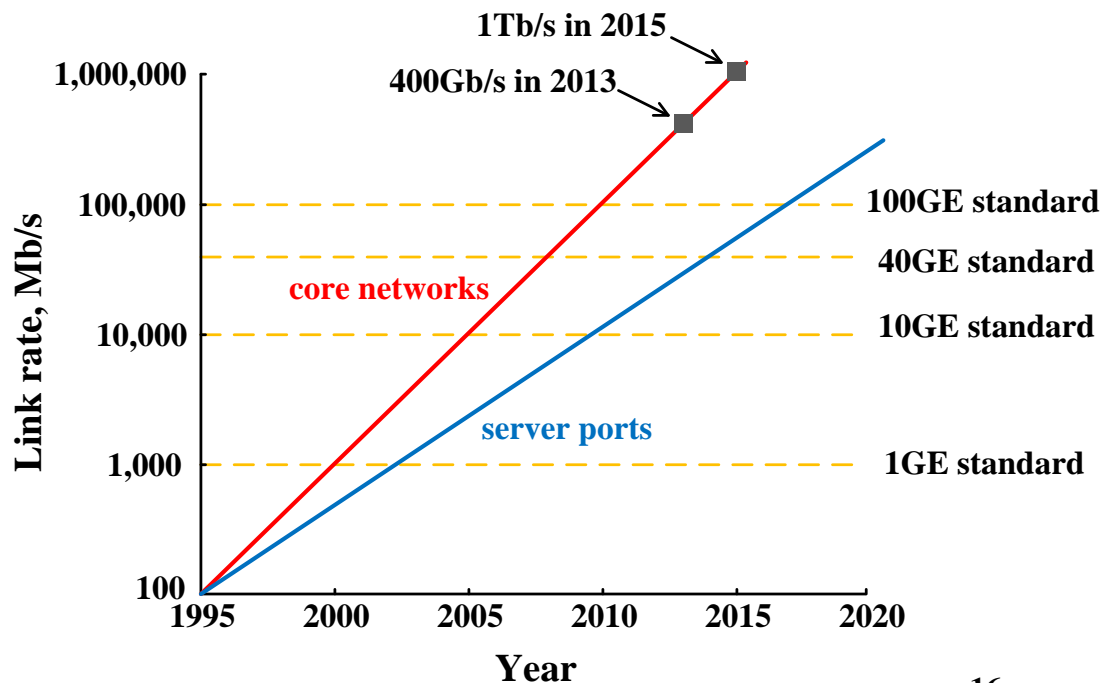
## Network Equipment Market Scale and Forecast of China

[1] [http://www.ccwresearch.com.cn/view\\_point\\_detail.htm?id=557063](http://www.ccwresearch.com.cn/view_point_detail.htm?id=557063)

[2] R. Bolla, R. Bruschi, F. Davoli, and F. Cucchietti, "Energy efficiency in the future Internet: A survey of existing approaches and trends in energyaware fixed network infrastructures," *IEEE Communications Surveys Tutorials*, vol. 13, pp. 223–244, Second 2011.



# Increase of Data Rate



[1] P. J. Winzer, "Beyond 100G Ethernet," *IEEE Communications Magazine*, vol. 48, pp. 26–30, July 2010.

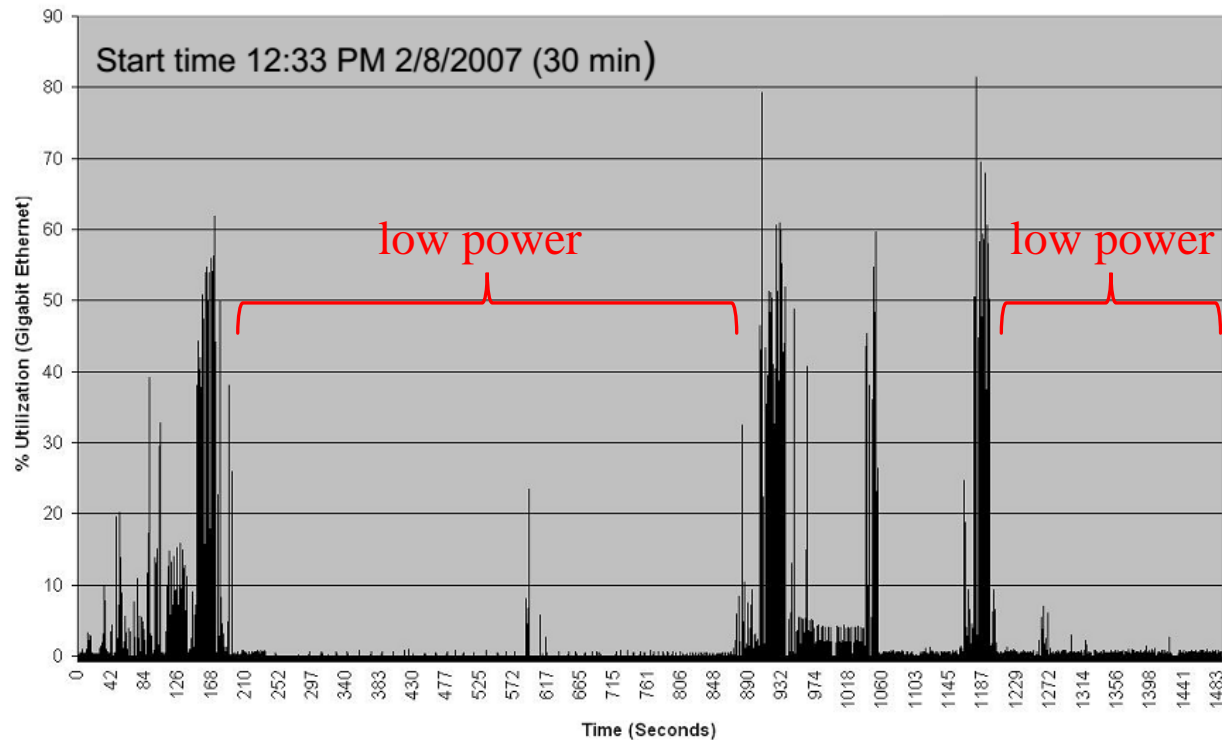
[2] P. Reviriego, K. Christensen, J. Rabanillo, and J. A. Maestro, "An initial evaluation of Energy Efficient Ethernet," *IEEE Communications Letters*, vol. 15, pp. 578–580, May 2011.

[3] B. Kohl, "10GBASE-T power budget summary," 2007.

# Idea of Energy Saving



- IEEE 802.3az: Shut down some component during idle periods and make the system more energy proportional to load



Trace from LBNL: File Server with 1G Ethernet Link

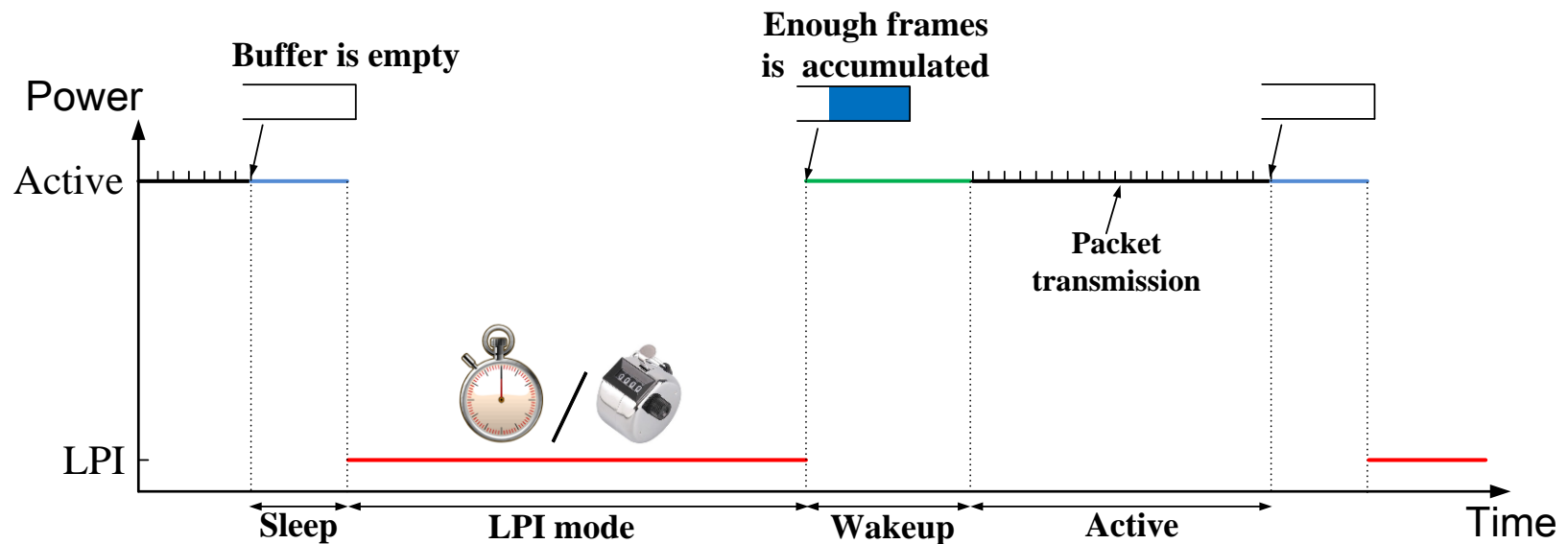


# Outline

- Background
- Energy Efficient Ethernet Protocol
- Vacation Model
- Power Efficiency
- P-K Formula of Mean Delay
- Tradeoff and Parameter Selections
- Conclusion

# Energy Efficiency Ethernet Protocol

- Sleep: transition time from Active to LPI
- Wakeup: transition time from LPI to Active
- LPI: low power idle mode
- Active: packets transmission period



A Typical State Transition and Power Consumption of EEE Protocol





# Counter and Timer

- Counter  $N$ 
  - Bound the backlogged queue length
- Timer  $\tau$  ( $\tau > T_s$ )
  - Bound the delay



$\tau$  &  $N$  policy



# Counter and Timer

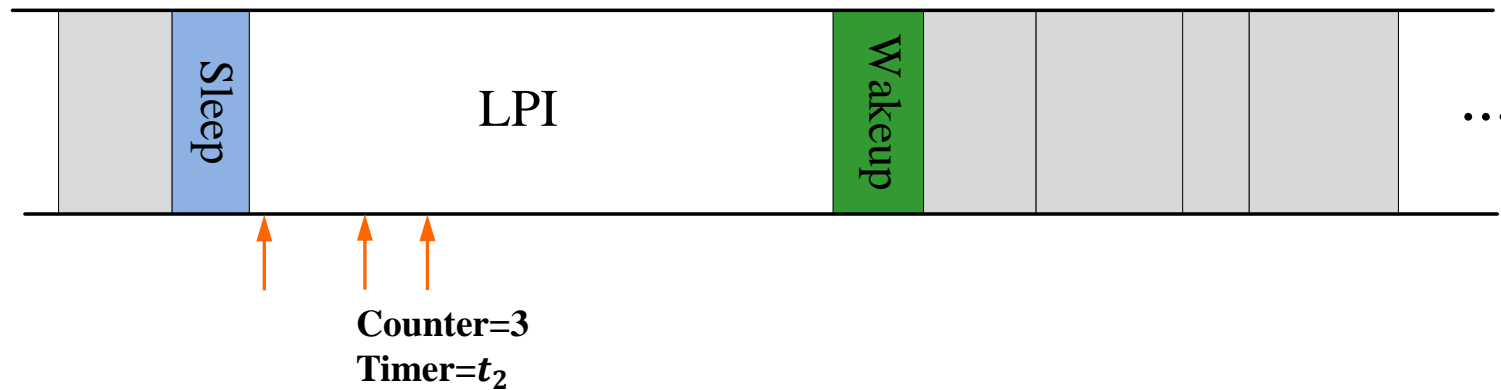
- Counter  $N$ 
  - Bound the backlogged queue length
- Timer  $\tau$  ( $\tau > T_s$ )
  - Bound the delay



$\tau$ & $N$  policy

# Counter and Timer

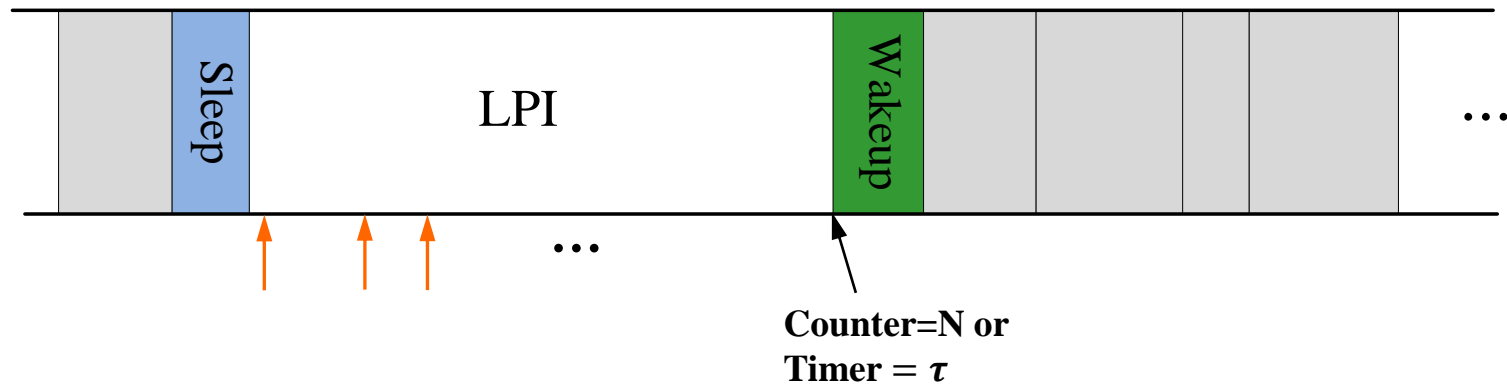
- Counter  $N$ 
  - Bound the backlogged queue length
- Timer  $\tau$  ( $\tau > T_s$ )
  - Bound the delay



$\tau$ & $N$  policy

# Counter and Timer

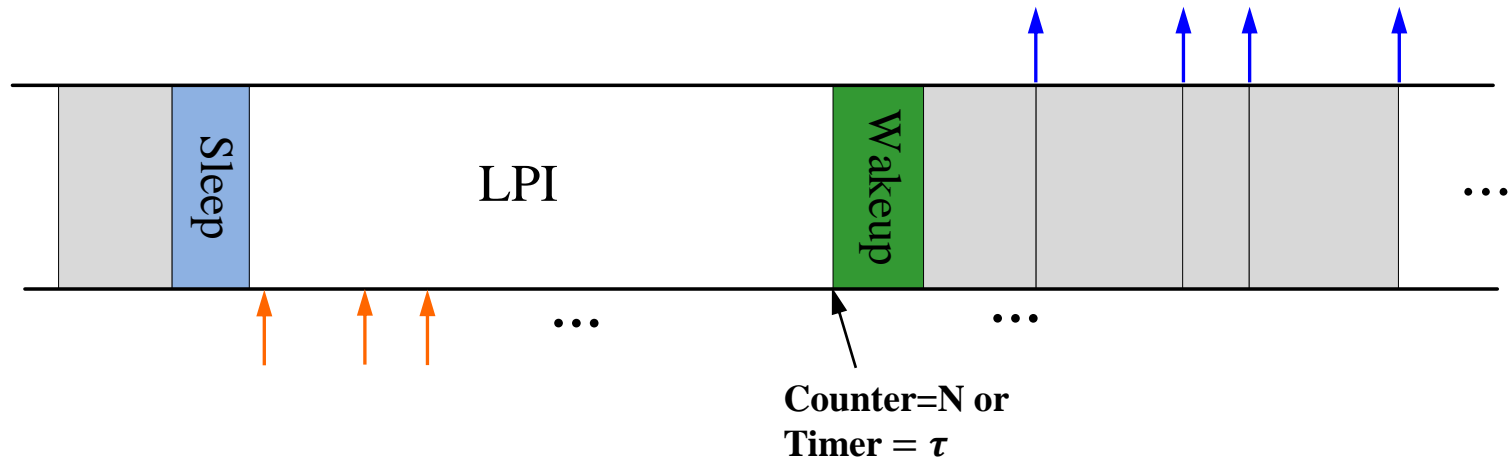
- Counter  $N$ 
  - Bound the backlogged queue length
- Timer  $\tau$  ( $\tau > T_s$ )
  - Bound the delay



$\tau$ & $N$  policy

# Counter and Timer

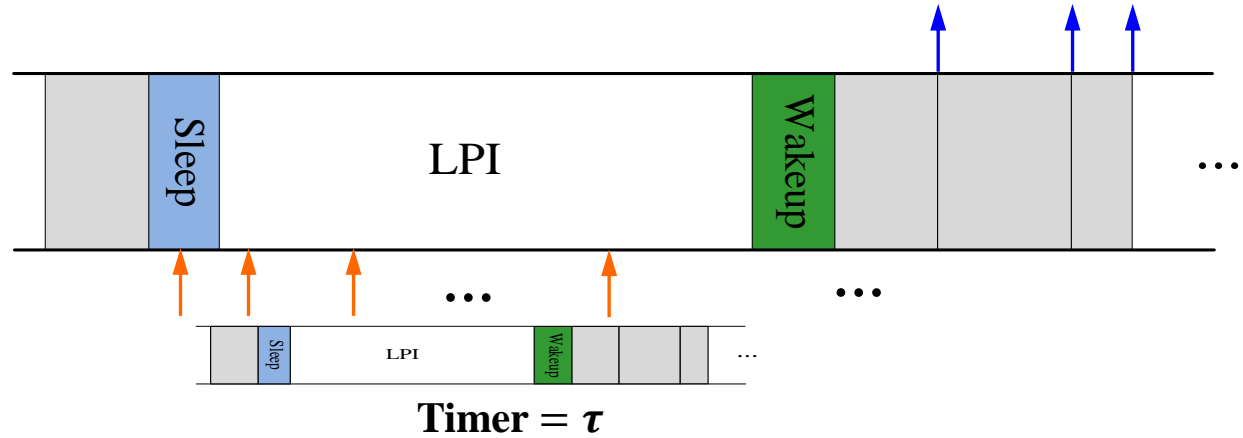
- Counter  $N$ 
  - Bound the backlogged queue length
- Timer  $\tau$  ( $\tau > T_s$ )
  - Bound the delay



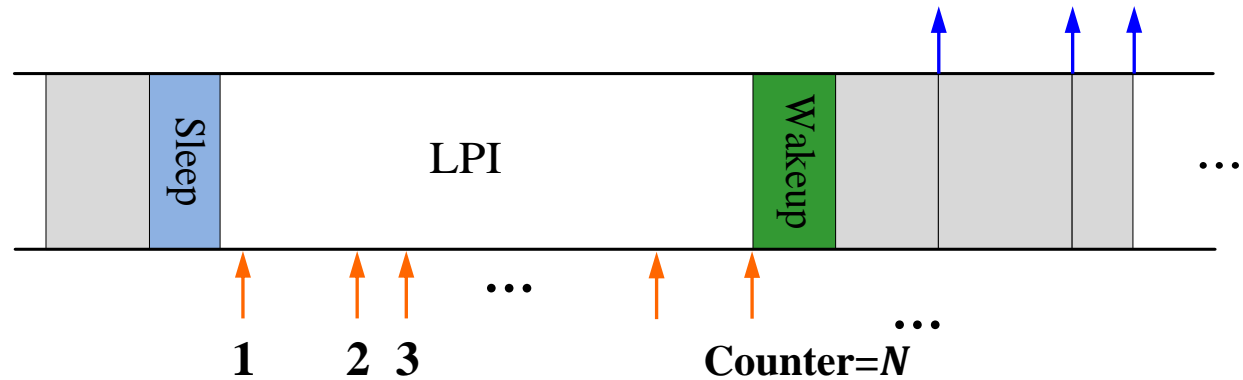
$\tau$ & $N$  policy

# $\tau$ policy and $N$ policy

$\tau$  policy  
 $N \rightarrow \infty$



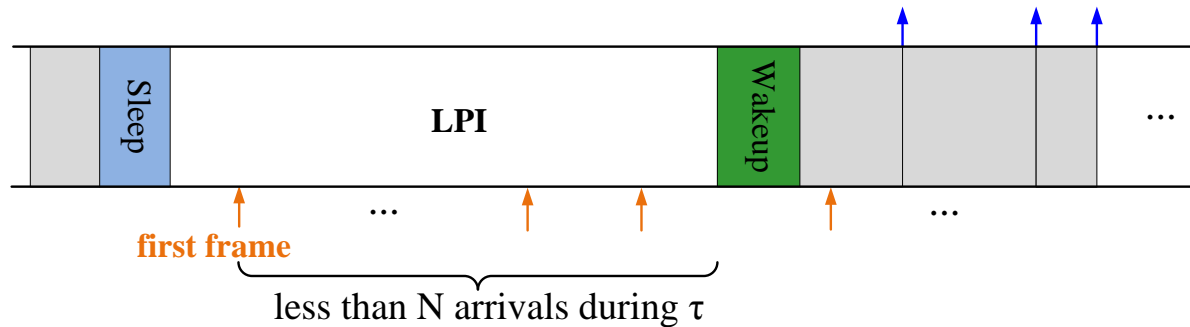
$N$  policy  
 $\tau \rightarrow \infty$



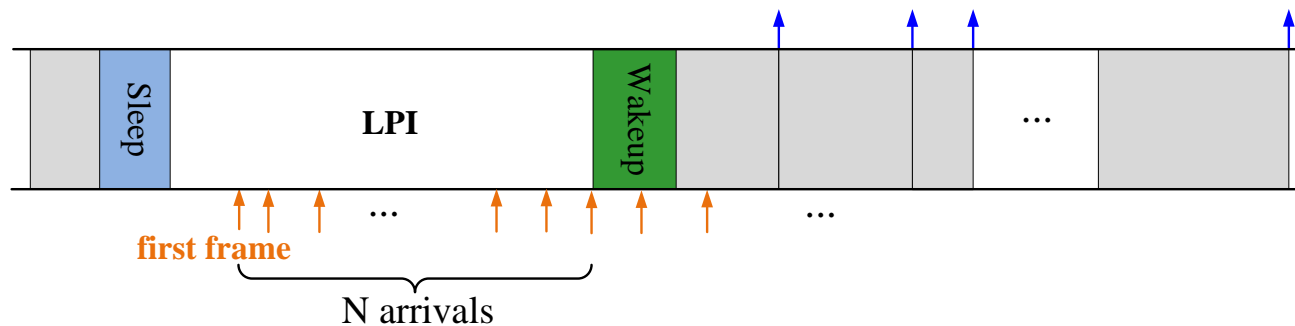
# Performance Tradeoff

- Power efficiency is improved at the expense of delay.
- How to select  $N$  and  $\tau$  to optimize system performances?

(a) Packets come in isolation



(b) Packets come densely





# Our Works

- Model BTR strategy as an **M/G/1 queue with vacation time** which is governed by the arrival process.
- Derive **the P-K formula** of mean delay.
- Demonstrate the impacts of counter and timer on performances and provide **two rules to select appropriate parameters  $N$  and  $\tau$** .



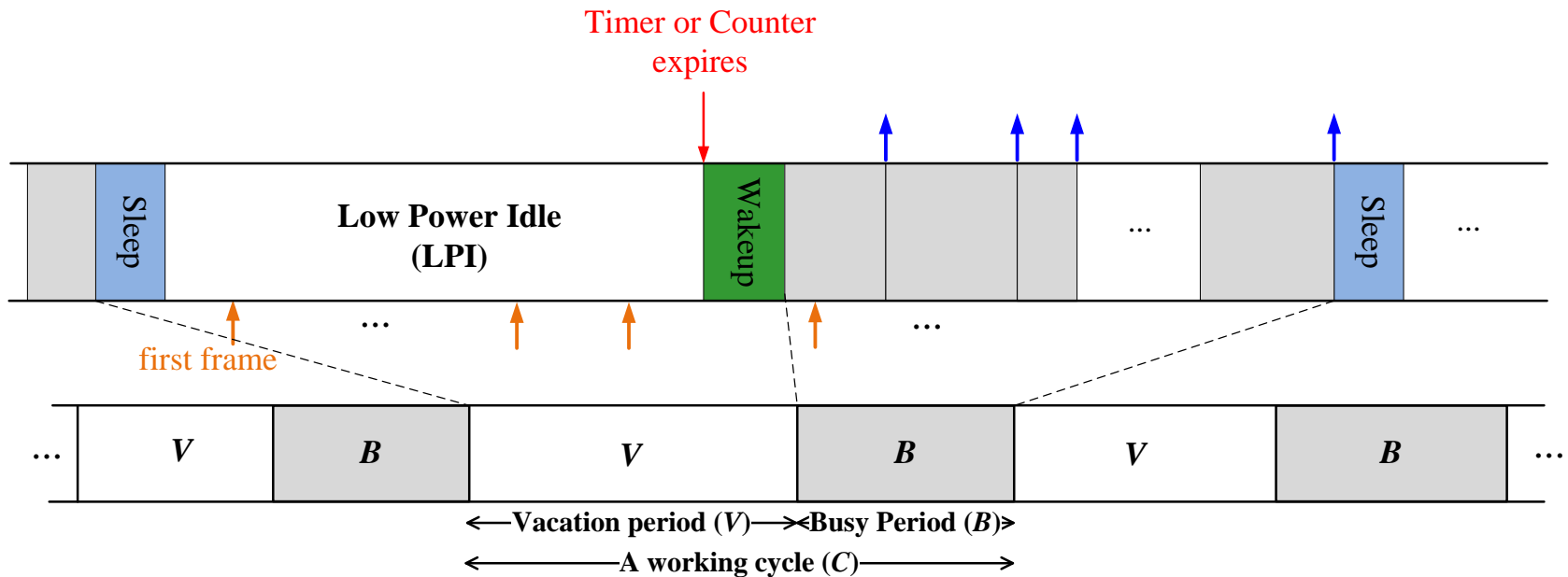


# Outline

- Background
- Energy Efficient Ethernet Protocol
- **Vacation Model**
- Power Efficiency
- P-K Formula of Mean Delay
- Tradeoff and Parameter Selections
- Conclusion

# Cycles of EEE Working Process

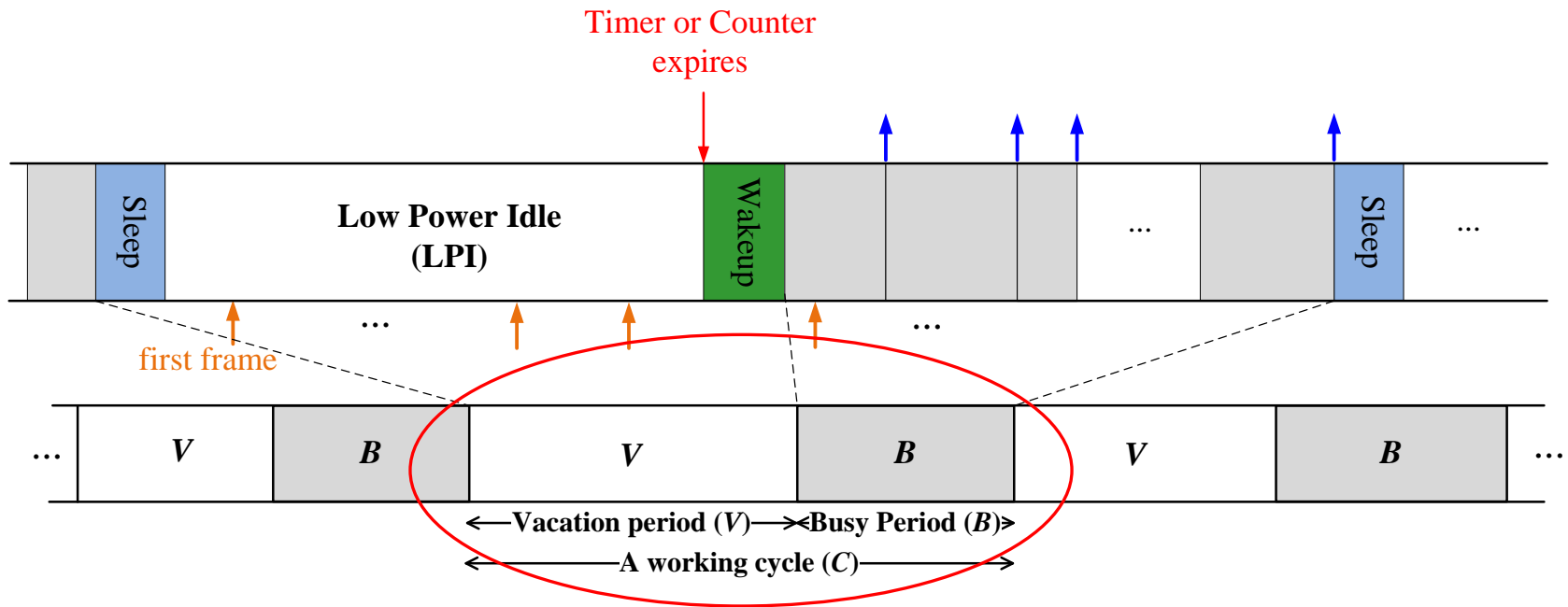
- A renewal cycle ( $C$ ) = Vacation period ( $V$ ) + Busy period ( $B$ )



EEE Working Process

# Cycles of EEE Working Process

- A renewal cycle ( $C$ ) = Vacation period ( $V$ ) + Busy period ( $B$ )

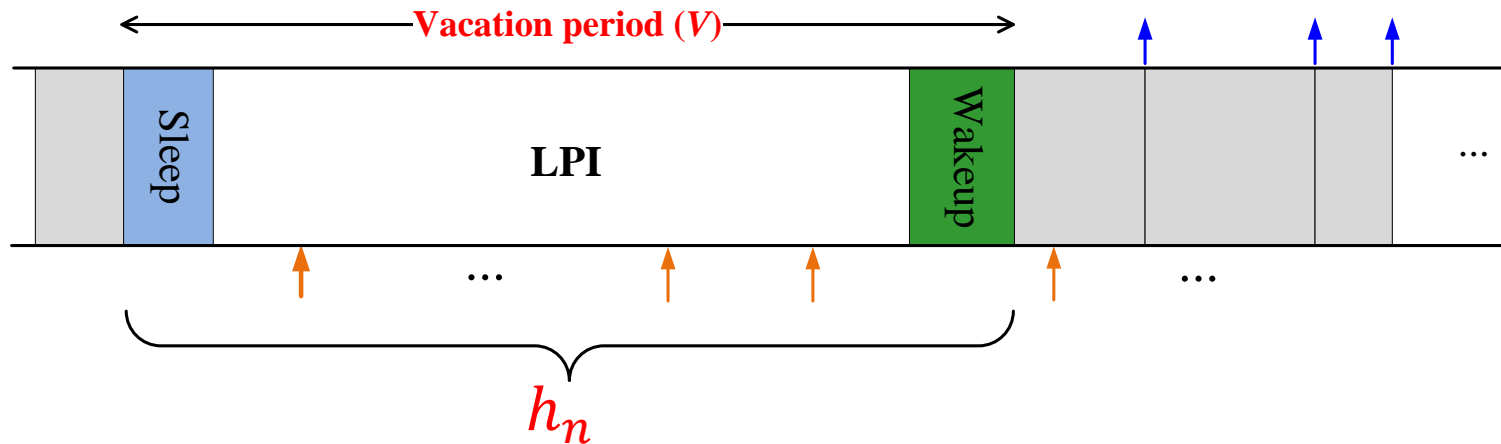


EEE Working Process

# Key to Model the EEE Protocol

- Vacation period depends on the probability  $h_n$

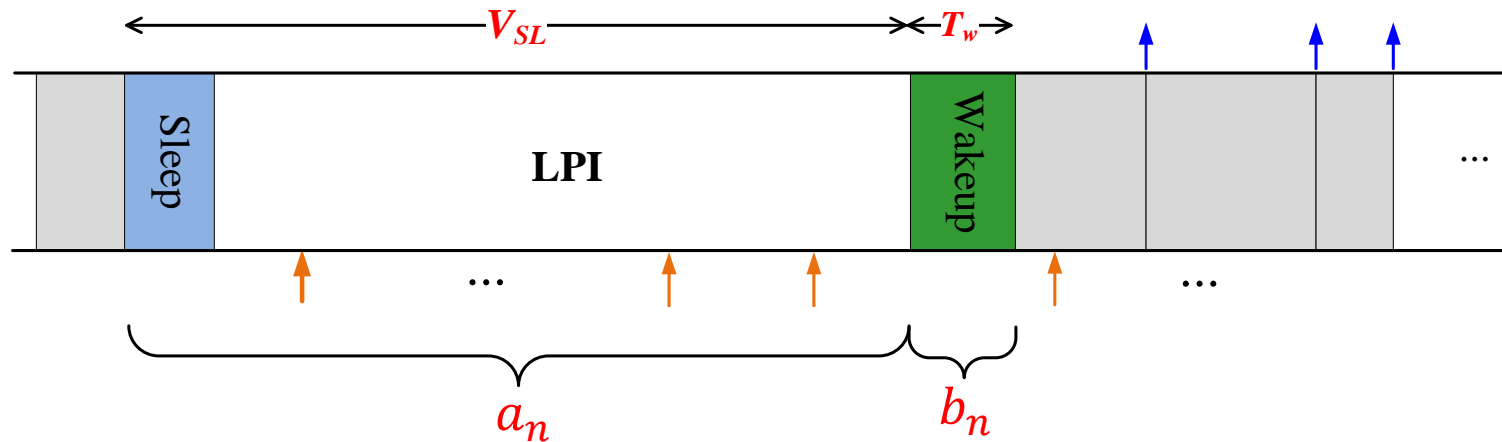
$$h_n = \Pr\{n \text{ arrivals during a vacation period } V\}$$



# Key to Model the EEE Protocol

- Vacation period depends on the probability  $h_n$

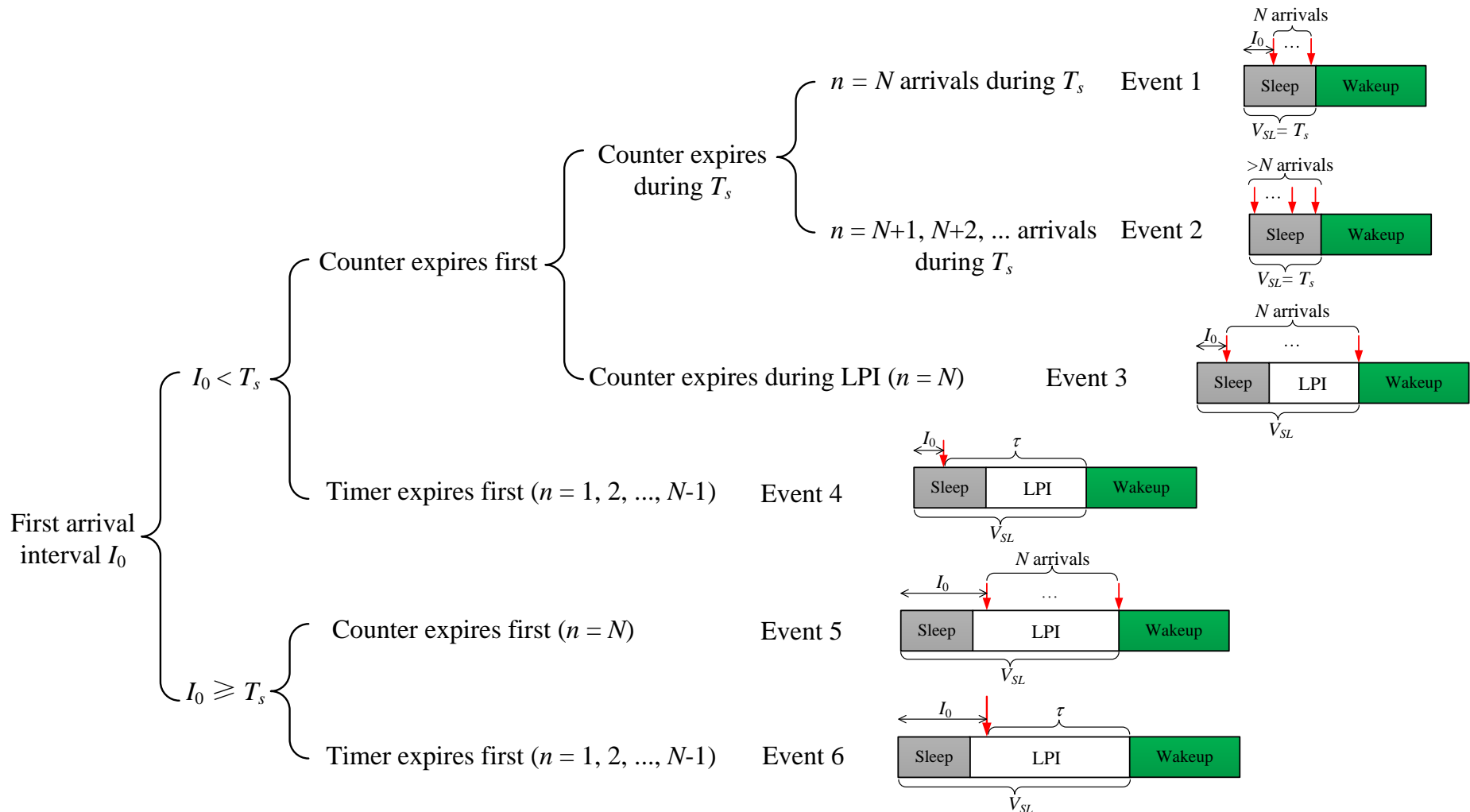
$$h_n = \Pr\{n \text{ arrivals during a vacation period } V\}$$



# Arrival Event Tree of Vacation Period



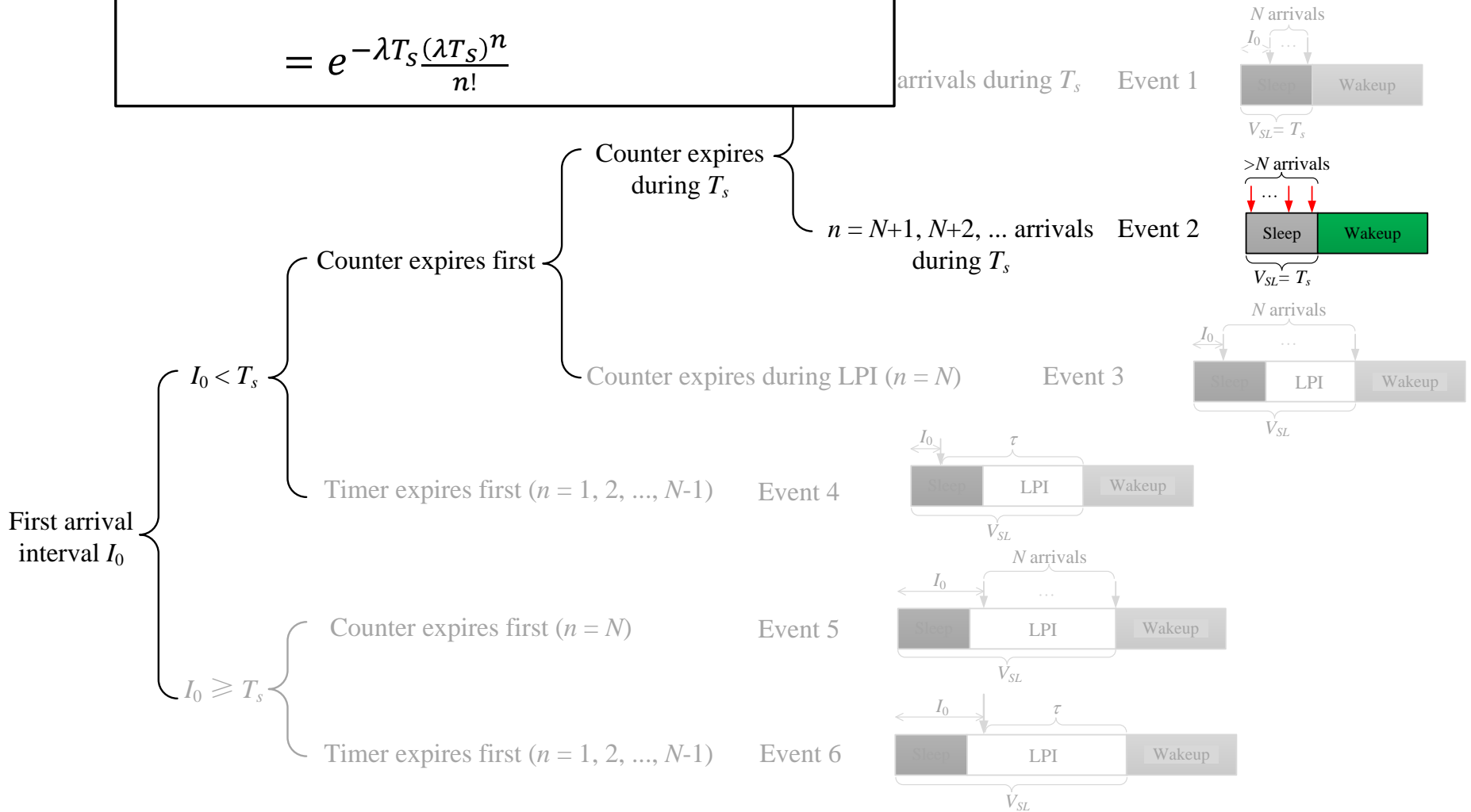
- Six mutually independent events



$$a_n \quad (n > N)$$

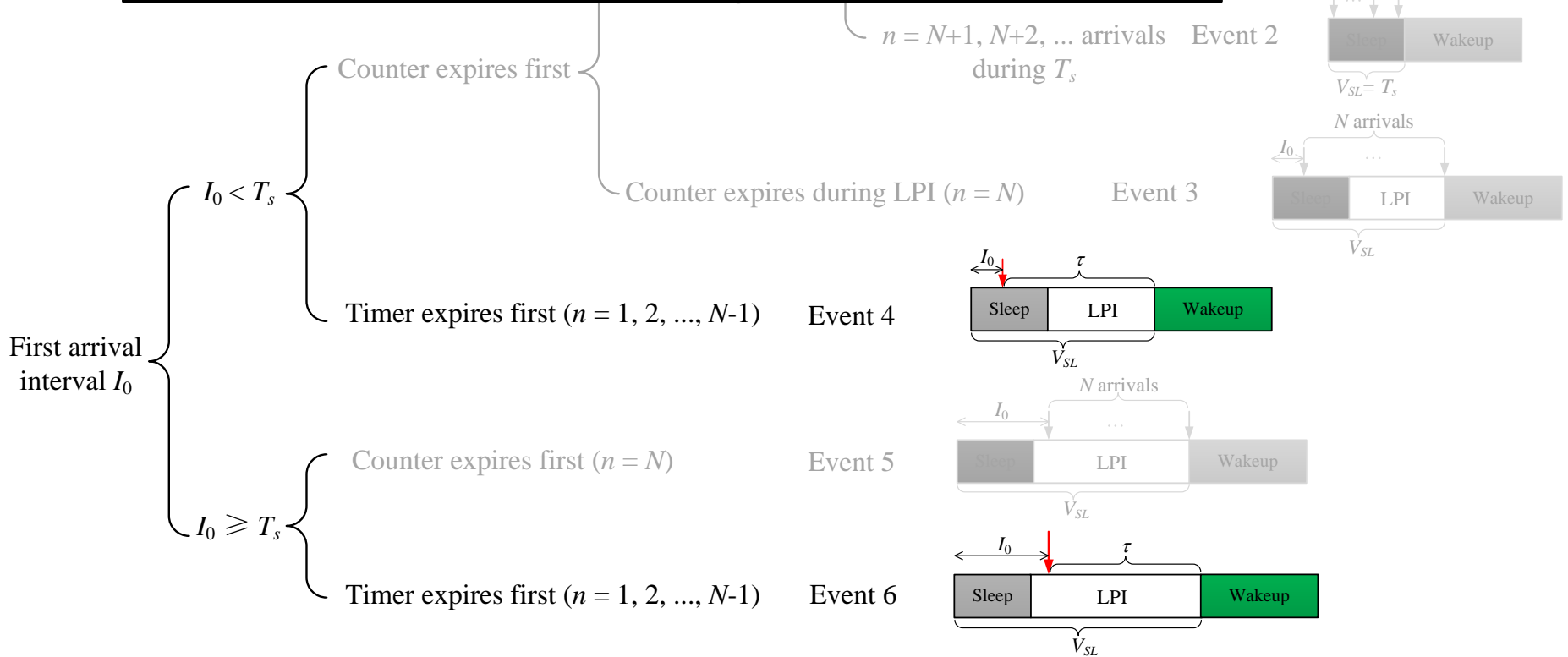
$$a_n = Pr\{n \text{ arrivals in the interval } T_s\}$$

$$= e^{-\lambda T_s} \frac{(\lambda T_s)^n}{n!}$$



# $a_n \ (0 < n < N)$

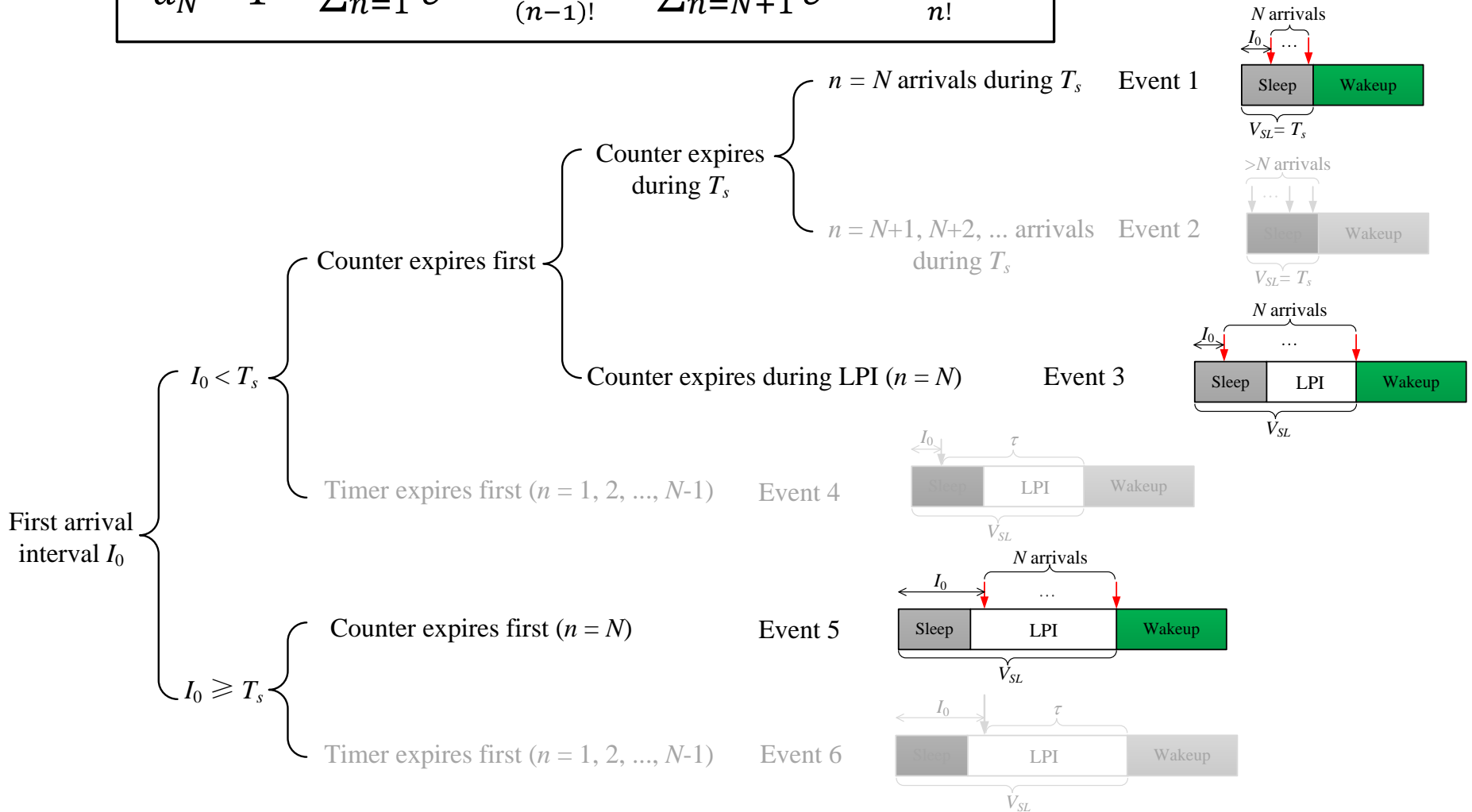
$$\begin{aligned}
 a_n &= Pr\{I_0 \leq T_s, n - 1 \text{ arrivals in an interval } \tau\} + \\
 &\quad Pr\{I_0 > T_s, n - 1 \text{ arrivals in an interval } \tau\} \\
 &= Pr\{n - 1 \text{ arrivals in an interval } \tau\} = e^{-\lambda\tau} \frac{(\lambda\tau)^{n-1}}{(n-1)!}
 \end{aligned}$$





$$a_n \quad (n = N)$$

$$a_N = 1 - \sum_{n=1}^{N-1} e^{-\lambda\tau} \frac{(\lambda\tau)^{n-1}}{(n-1)!} - \sum_{n=N+1}^{\infty} e^{-\lambda T_s} \frac{(\lambda T_s)^n}{n!}$$





# Probability $h_n$

$$a_n = \begin{cases} 0, & n = 0 \\ e^{-\lambda\tau} \frac{(\lambda\tau)^{n-1}}{(n-1)!}, & n = 1, 2, \dots, N-1 \\ \sum_{n=0}^N e^{-\lambda T_s} \frac{(\lambda T_s)^n}{n!} - \sum_{n=1}^{N-1} e^{-\lambda\tau} \frac{(\lambda\tau)^{n-1}}{(n-1)!}, & n = N \\ e^{-\lambda T_s} \frac{(\lambda T_s)^n}{n!}, & n = N+1, N+2, \dots \end{cases}$$

$$b_n = Pr\{n \text{ arrivals during } T_w\} = e^{-\lambda T_w} \frac{(\lambda T_w)^n}{n!}$$

$$\rightarrow h_n = \sum_{k=0}^n a_{n-k} b_k \rightarrow H(z) = A(z)B(z)$$



# Mean Vacation Time

- Mean number of arrivals during vacation is

$$\bar{\alpha} = H'(1)$$

- $H(z) = \sum_{n=0}^{\infty} h_n z^n$
- $\bar{\alpha}$ : mean number of arrivals during vacation period

- By Little's Law, the mean vacation time  $\bar{V}$  is

$$\bar{\alpha} = \lambda \bar{V} \rightarrow \bar{V} = \frac{\bar{\alpha}}{\lambda}$$



# Outline

- Background
- Energy Efficient Ethernet Protocol
- Vacation Model
- **Power Efficiency**
- P-K Formula of Mean Delay
- Tradeoff and Parameter Selections
- Conclusion

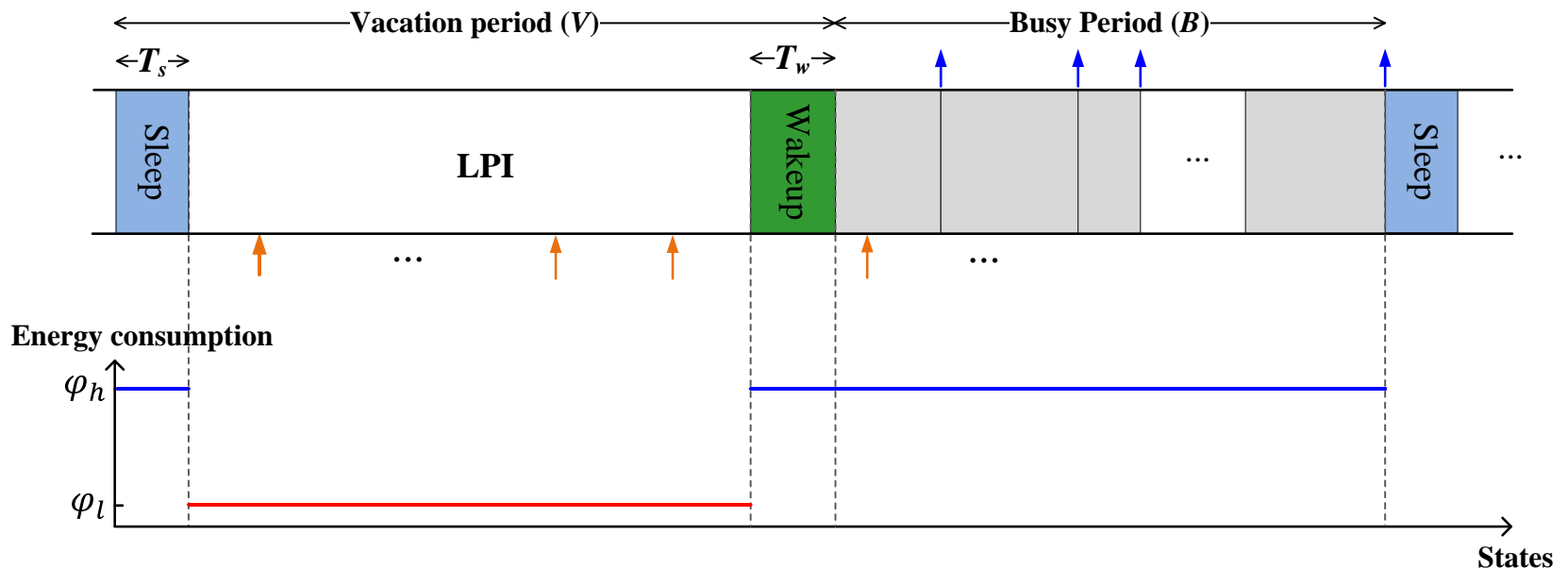


# Power Efficiency $\eta$

$$\eta = \frac{\varphi_h - \varphi_{EEE}}{\varphi_h} = \left(1 - \frac{T_w + T_s}{\bar{V}}\right) \cdot \frac{(1 - \rho) \times (\varphi_h - \varphi_l)}{\varphi_h}$$

When  $N$  and  $\tau$  come to infinite

$$\eta^* = \lim_{\bar{V} \rightarrow \infty} \eta = \frac{(1 - \rho) \times (\varphi_h - \varphi_l)}{\varphi_h}$$





# Outline

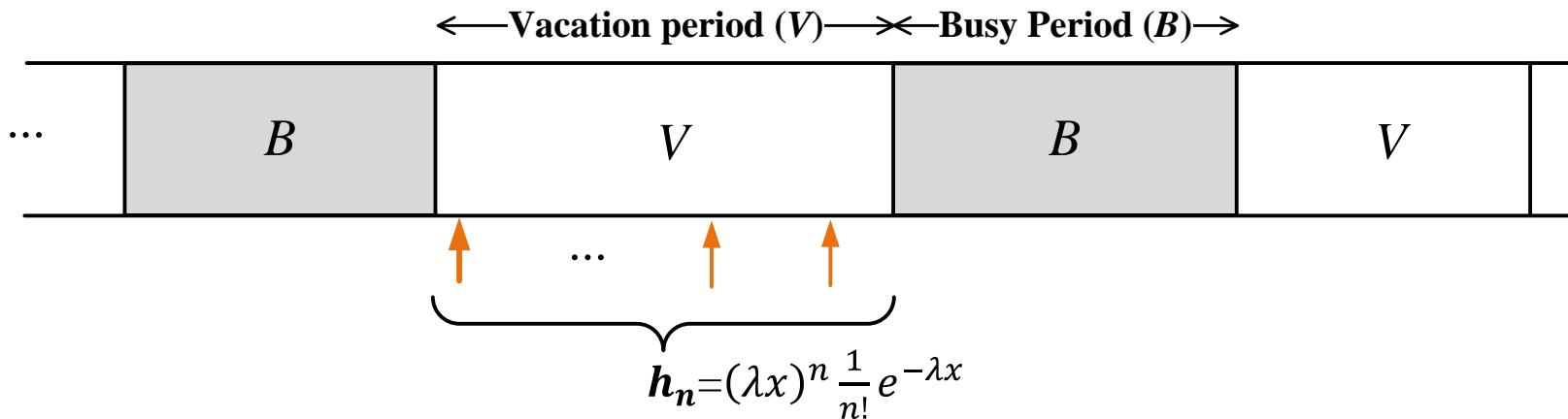
- Background
- Energy Efficient Ethernet Protocol
- Vacation Model
- Power Efficiency
- **P-K Formula of Mean Delay**
- Tradeoff and Parameter Selections
- Conclusion

# Classical M/G/1 with Vacation System



- Vacation time distribution is independent of the arrival process

$$\begin{aligned} H(z) &= \sum_{n=0}^{\infty} h_n z^n = \sum_{n=0}^{\infty} \int_0^{\infty} (\lambda x)^n \frac{1}{n!} e^{-\lambda x} dV(x) z^n \\ &= V^*(\lambda - \lambda z) \end{aligned}$$



Classical M/G/1 with Vacation



# Failure of $H(z) = V^*(\lambda - \lambda z)$

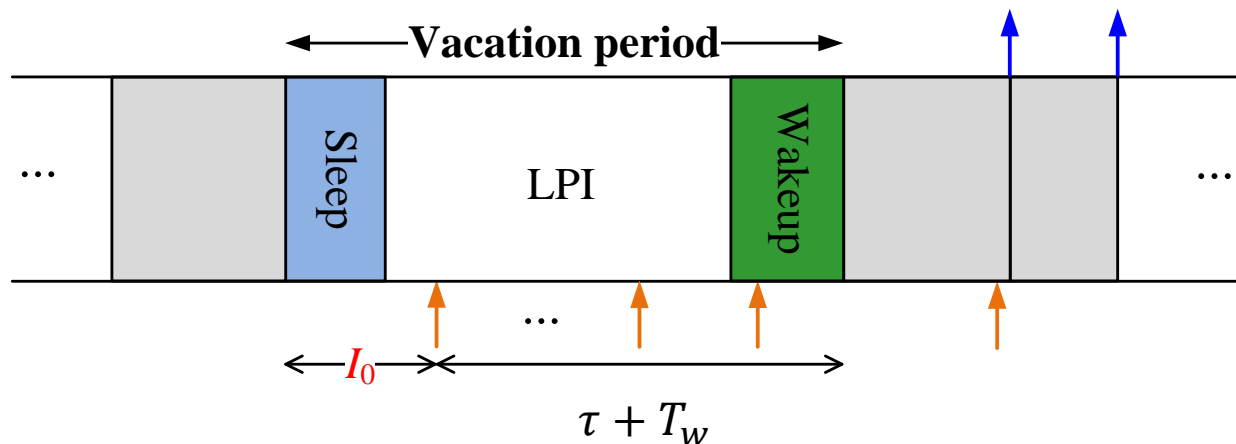
- In EEE protocol, the vacation time is completely **governed by the arrival process**. Take  $\tau$  policy for example

$$V = I_0 + \tau + T_w$$

$$v(x) = \lambda e^{-\lambda(x-\tau-T_w)} (x \geq \tau + T_w) \rightarrow V^*(s) = \frac{\lambda}{\lambda+s} e^{-s(\tau+T_w)}$$

$$h_n = e^{-\lambda(\tau+T_w)} \frac{[\lambda(\tau+T_w)]^{n-1}}{(n-1)!} (n \geq 1) \rightarrow H(z) = z e^{-\lambda(1-z)(\tau+T_w)}$$

- Obviously,  $H(z) \neq V^*(\lambda - \lambda z)$



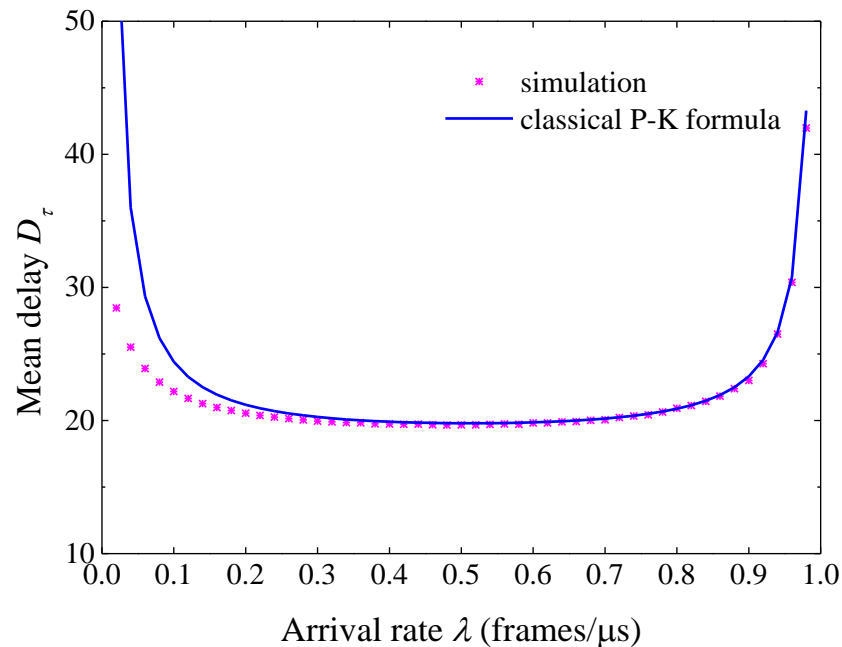


# Failure of Traditional P-K Formula

- P-K Formula in the classical M/G/1 queue with vacation time

$$D = \frac{\lambda \bar{X}^2}{2(1-\rho)} + \frac{\bar{V}^2}{2\bar{V}} + \bar{X}$$

For the same reason, it fails in EEE systems

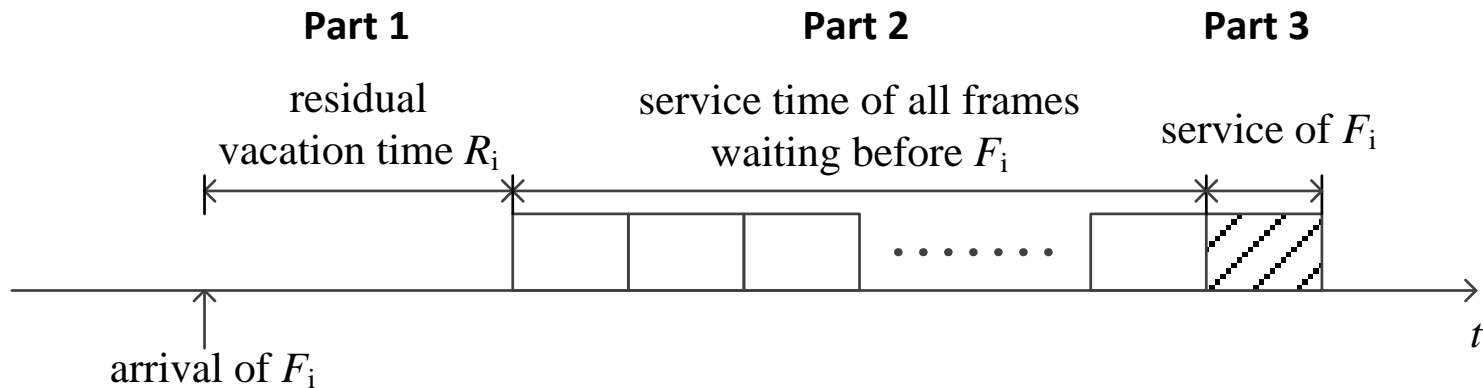


Validation of the Classical P-K Formula

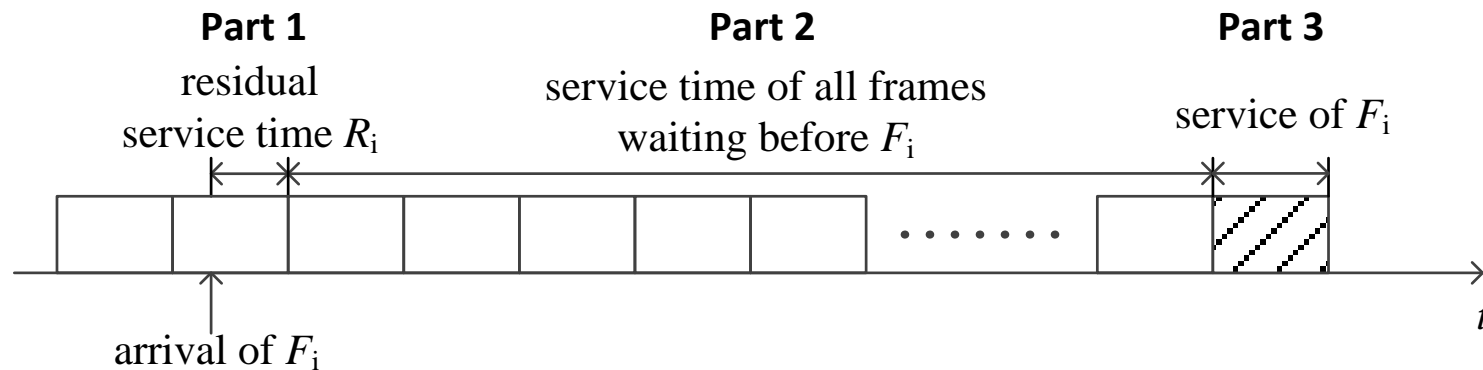
# Delay Analysis



- The waiting time for a frame is constituted by **three parts**.



(a) Frame  $F_i$  arrive during vacation period



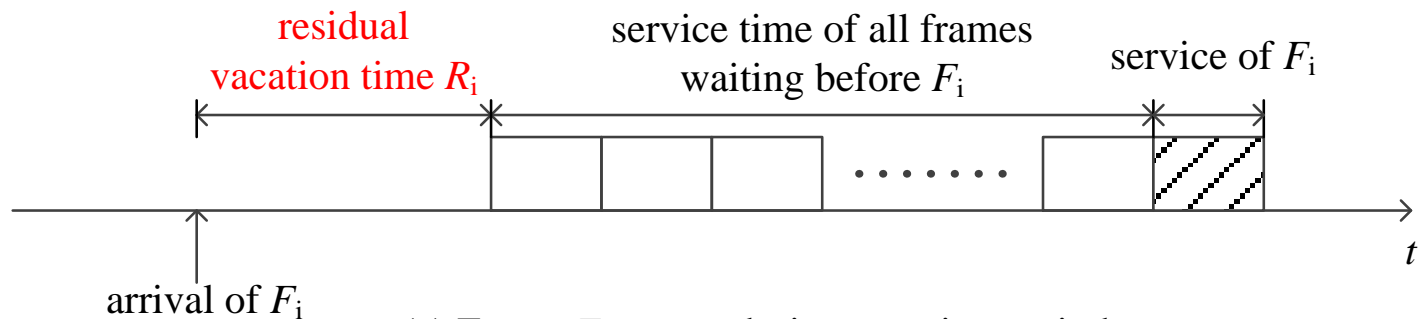
(b) Frame  $F_i$  arrive during busy period



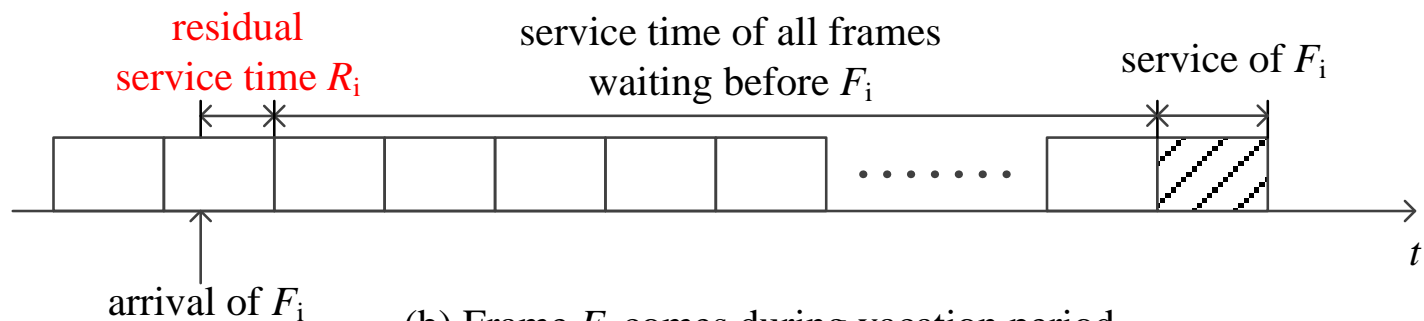
# Mean Delay

$$D = \frac{R}{1 - \rho} + X$$

- $R$ : mean residual time



(a) Frame  $F_i$  comes during vacation period



(b) Frame  $F_i$  comes during service period



# Mean Residual Time

$$\begin{aligned} R &= E[R_i|\xi = \mathbf{0}] \times Pr\{\xi = 0\} + E[R_i|\xi = \mathbf{1}] \times Pr\{\xi = 1\} \\ &= E[R_i|\xi = 0] \times (1 - \rho) + E[R_i|\xi = 1] \times \rho \end{aligned}$$

$$\xi = \begin{cases} 0, & \text{if a arrival comes during a vacation period} \\ 1, & \text{if a arrival comes during a busy period} \end{cases}$$

$$E[R_i|\xi = 1] = \frac{1}{2\rho} \lambda \overline{X^2}^{[1]}$$

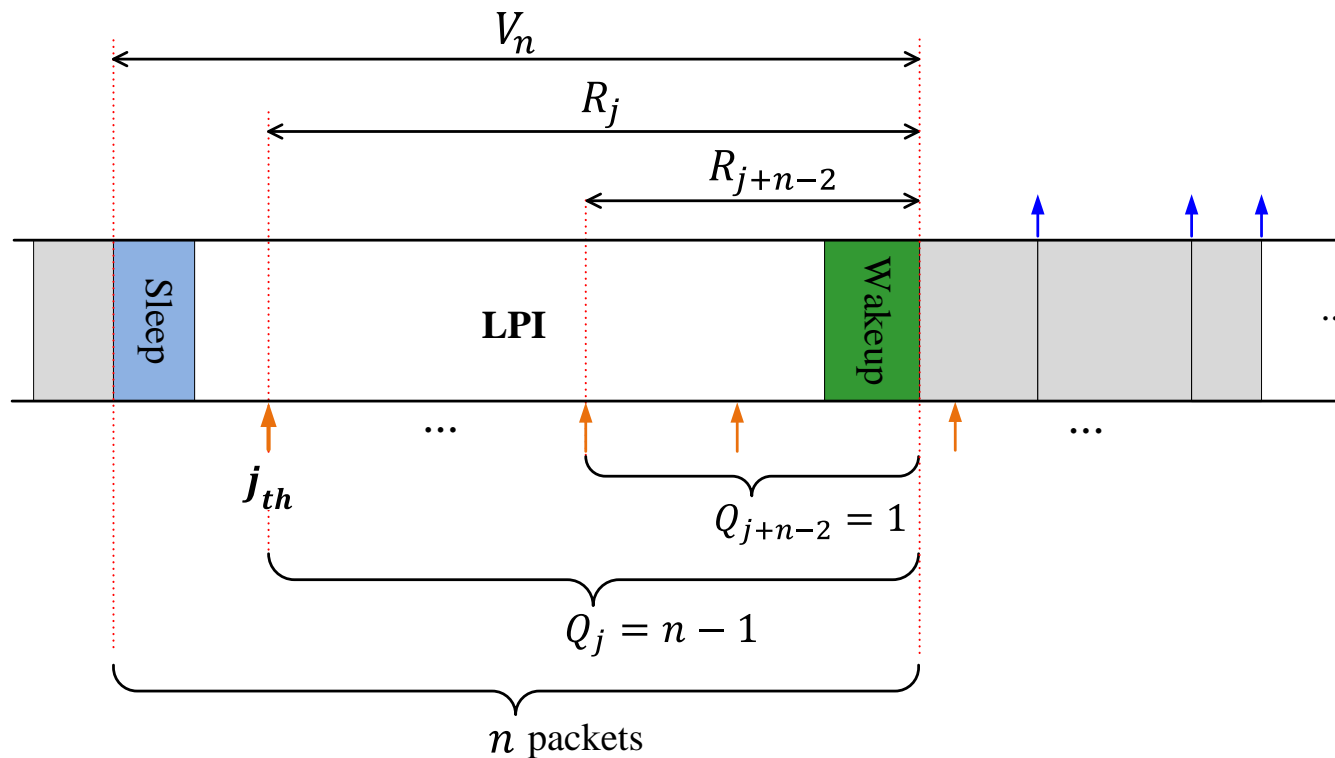
$$E[R_i|\xi = 0] = ?$$

# Residual Vacation Time of Each Arrival



- When given  $V_n$ , # of arrival during the residual vacation time seen by a frame is determined.

$V_n$ : vacation period terminated with  $n$  arrivals  
 $Q_j$ : # of arrival during residual vacation time  $R_j$



# Mean Residual Vacation Time



$$E[R_i|\xi = 0] = \sum_{n=1}^{\infty} E[R_i|\xi = 0, \text{frame } i \text{ arrives in a } V_n] \cdot P_n$$

- Applying Little's Law

$$\begin{aligned} \lambda E[R_i|\xi = 0] &= \sum_{n=1}^{\infty} \lambda E[R_i|\xi = 0, \text{frame } i \text{ arrives in a } V_n] \cdot P_n \\ &= \sum_{n=1}^{\infty} E[Q_i|\xi = 0, \text{frame } i \text{ arrives } V_n] \cdot P_n \\ &= \sum_{n=1}^{\infty} \left[ \frac{(n-1)+(n-2)+\dots+1+0}{n} \right] \cdot \frac{n \cdot h_n}{H'(1)} = \frac{H''(1)}{2H'(1)}. \end{aligned}$$



# P-K Formula of Mean Delay

- **Theorem 1:** The mean delay of EEE systems is given by:

$$D = \frac{\lambda \bar{X}^2}{2(1-\rho)} + \frac{H''(1)}{2\lambda H'(1)} + \bar{X}$$

- Classical P-K Formula

$$D = \frac{\lambda \bar{X}^2}{2(1-\rho)} + \frac{\bar{V}^2}{2\bar{V}} + \bar{X}$$

- When  $H(z) = V^*(\lambda - \lambda z)$  holds,  $D = \frac{\lambda \bar{X}^2}{2(1-\rho)} + \frac{H''(1)}{2\lambda H'(1)} + \bar{X}$  degenerate into the classical form.



# Outline

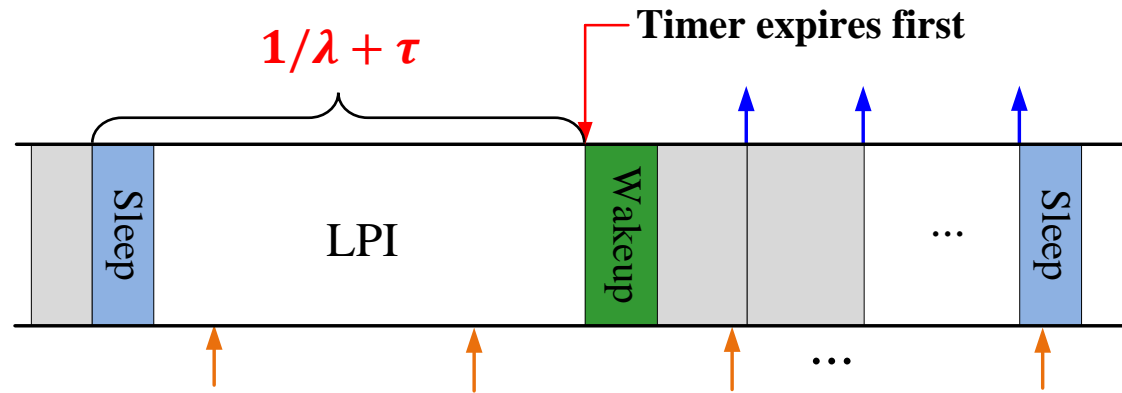
- Background
- Energy Efficient Ethernet Protocol
- Vacation Model
- Power Efficiency
- P-K Formula of Mean Delay
- Tradeoff and Parameter Selections
- Conclusion



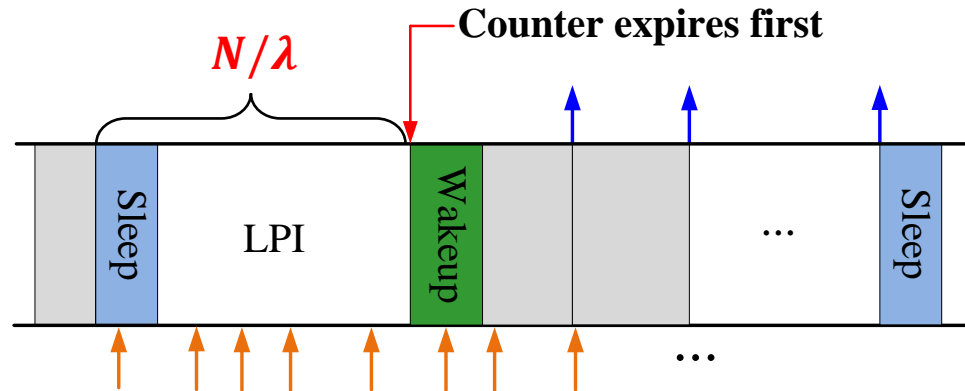
# Tradeoff: Timer versus Counter



(a) Low  $\lambda$



(b) High  $\lambda$

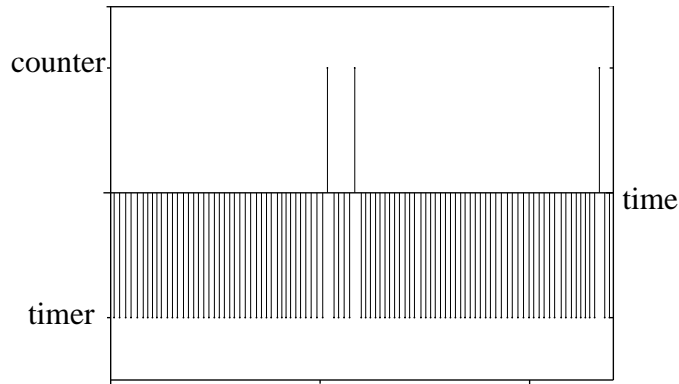




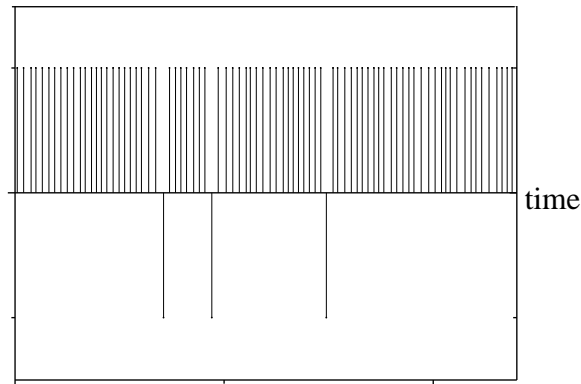
# Tradeoff: Timer versus Counter

- Low load:  $\lambda < \frac{N-1}{\tau}$ ,  $\bar{V} \approx \frac{1}{\lambda} + \tau + T_w$
- High load:  $\lambda > \frac{N-1}{\tau}$ ,  $\bar{V} \approx \frac{N}{\lambda} + T_w$
- Medium load:  $\lambda \approx \frac{N-1}{\tau}$ ,  $\bar{V} \geq \frac{1}{\lambda} + \tau + T_w \approx \frac{N}{\lambda} + T_w$

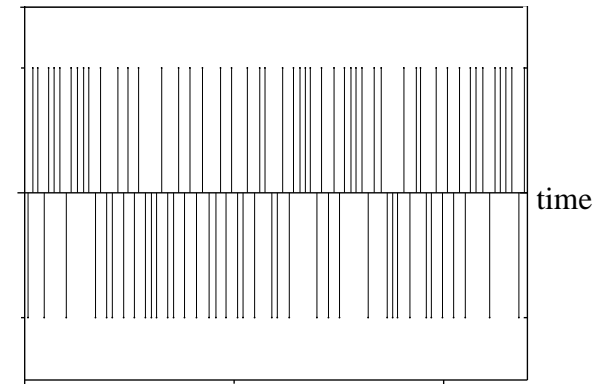
**Low load**



**High load**



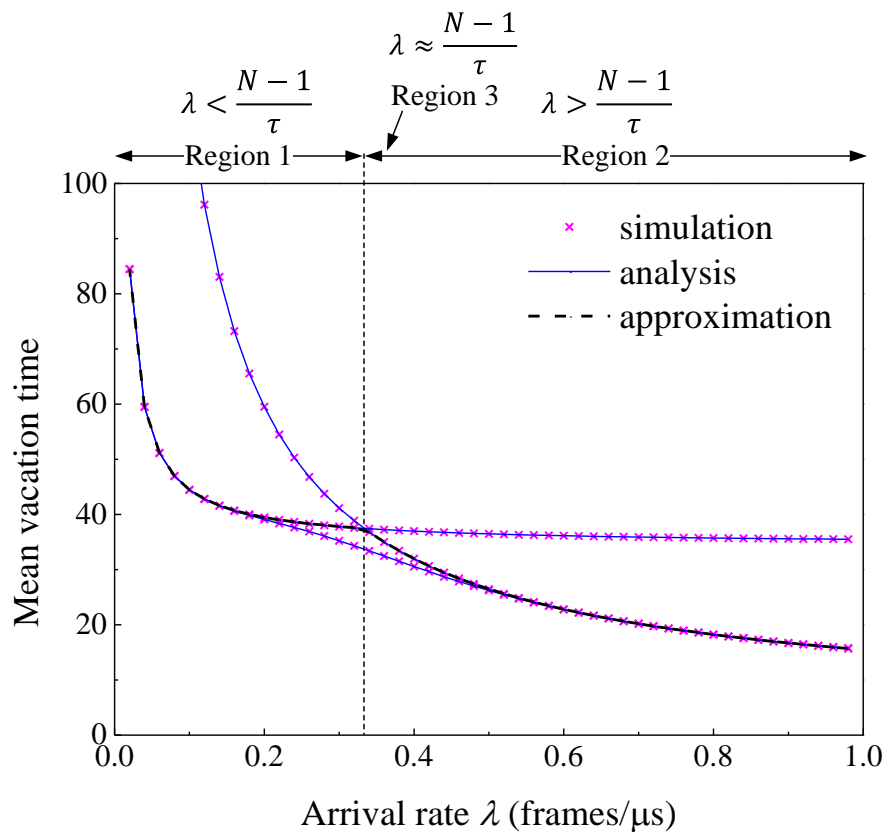
**Medium load**



# Approximation of Mean Vacation Time



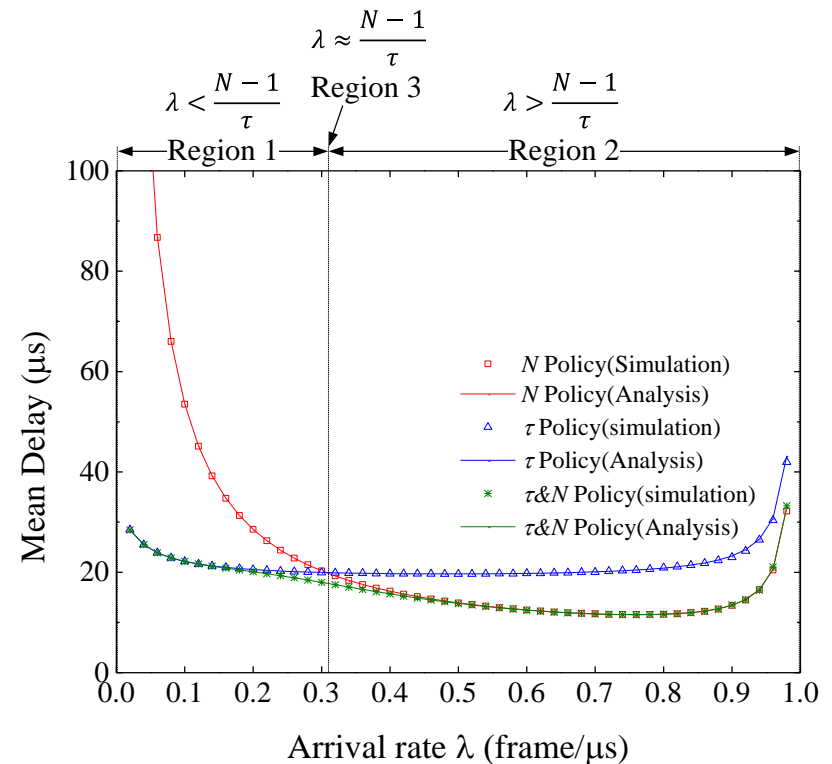
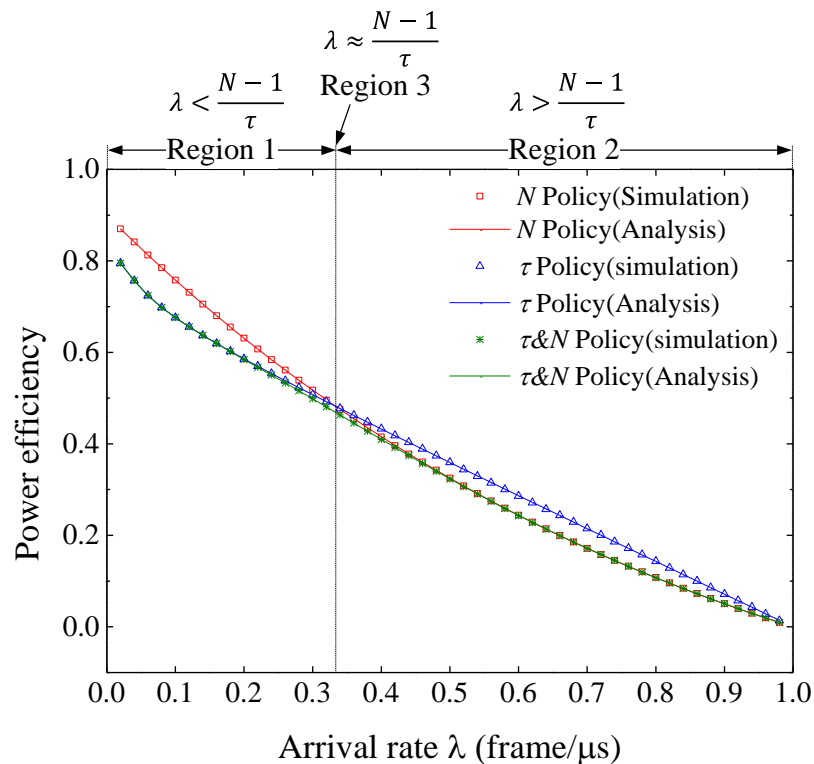
$$\bar{V} \approx \min\{\bar{V}_\tau, \bar{V}_N\} = \min\left\{\frac{1}{\lambda} + \tau, \frac{N}{\lambda}\right\} + T_w$$



# Approximation of Performances

$$\eta \approx \min\{\eta_\tau, \eta_N\} = \min\left\{1 - \frac{T_S+T_W}{\frac{1}{\lambda}+\tau+T_W}, 1 - \frac{T_S+T_W}{\frac{N}{\lambda}+T_W}\right\} \times \frac{(1-\rho) \times (\varphi_h - \varphi_l)}{\varphi_h}$$

$$D \approx \min\{D_\tau, D_N\} = \min\left\{\frac{(\lambda\tau+\lambda T_W)^2+2(\lambda\tau+\lambda T_W)}{2\lambda(1+\lambda\tau+\lambda T_W)}, \frac{(N+\lambda T_W)^2-N}{2\lambda(N+\lambda T_W)}\right\} + \frac{\lambda \bar{X}^2}{2(1-\rho)} + \bar{X}$$



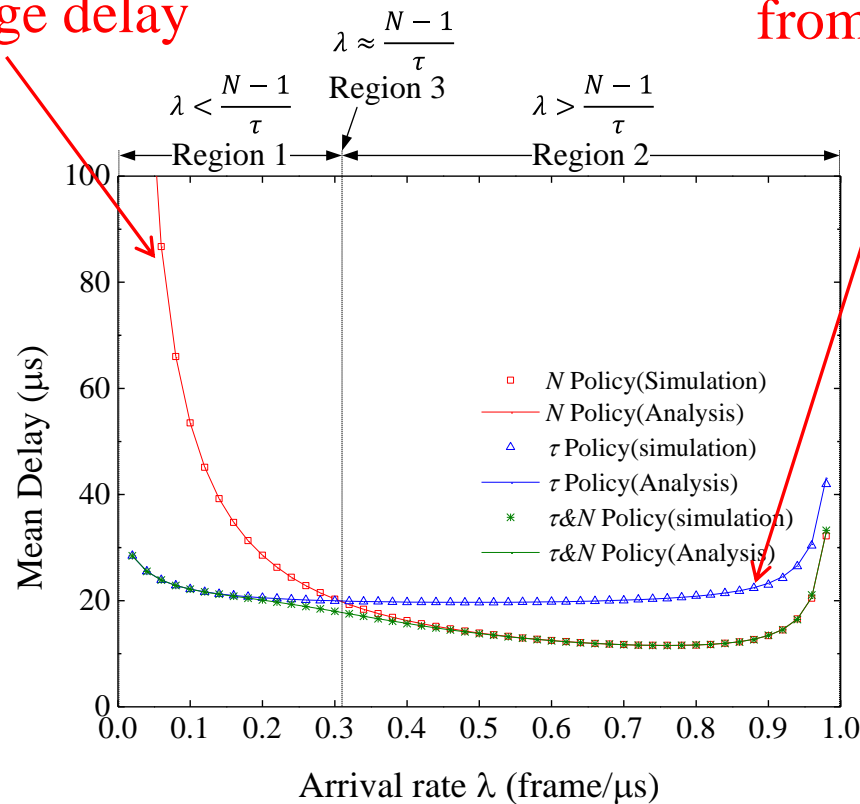
# Optimal Relation of Timer and Counter



- $\tau$ & $N$  policy can adapt to traffic fluctuations and avoids large delays, especially in two side regions.

$N$  policy suffers from a large delay

$\tau$  policy suffers from a large delay





# Rule EEE 1

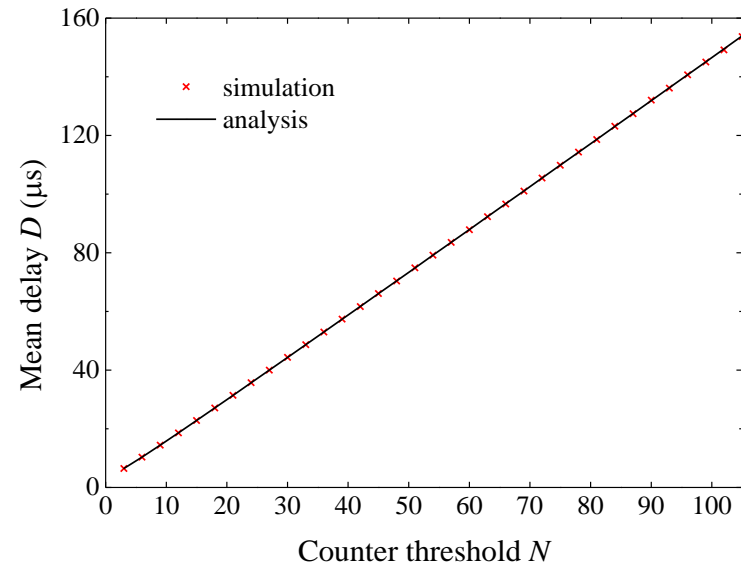
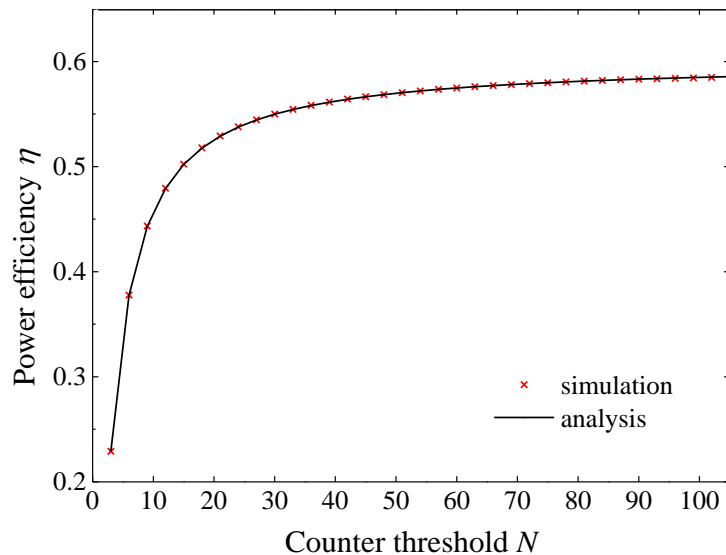
**EEE 1:** For a given steady state traffic rate  $\lambda$ , the selection of parameters  $\tau$  and  $N$  should comply with the following condition:

$$\frac{N-1}{\tau} = \lambda.$$

# Power Efficiency versus Mean Delay



- Excessive large  $\tau$  and  $N$  degrade delay performance while marginally enhancing the power efficiency.
  - (a) With the increase of  $\tau, N$ ,  $\bar{V} \rightarrow \infty$  and  $\eta \rightarrow \eta^*$
  - (b)  $D$  is almost linearly proportional to  $\tau$  and  $N$



# Power Efficiency versus Mean Delay

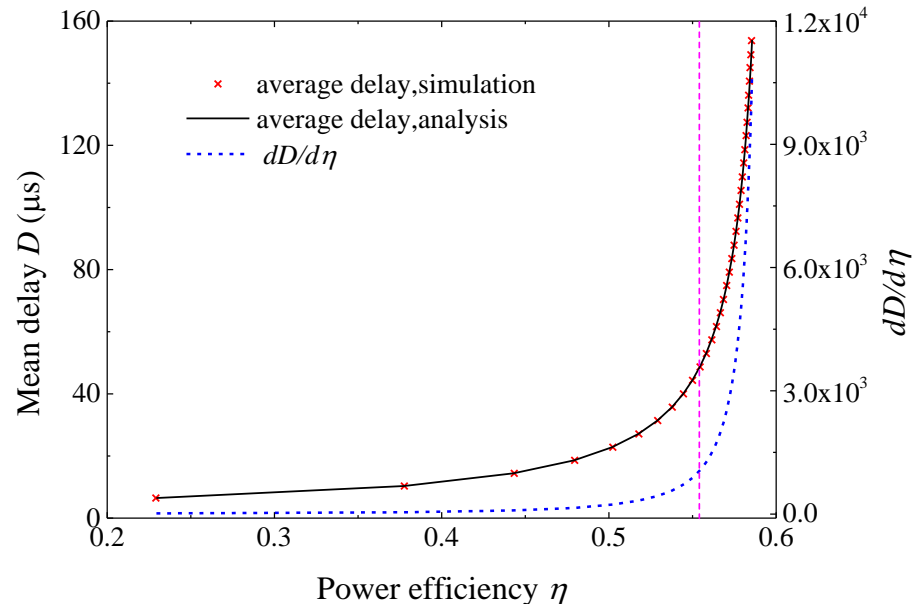


- Mean delay: a function of the power efficiency

$$D \approx \frac{\lambda \bar{X}^2}{2(1-\rho)} + \frac{T_s + T_w}{2\left(1 - \frac{\eta}{\eta^*}\right)} - \frac{T_s + T_w \frac{\eta}{\eta^*}}{2\lambda(T_s + T_w)} + \bar{X},$$

- The derivative

$$\frac{dD}{d\eta} \approx \frac{T_s + T_w}{2\eta^* \left(1 - \frac{\eta}{\eta^*}\right)^2} - \frac{T_w}{2\lambda\eta^*(T_s + T_w)}$$







## Rule EEE 2

**EEE 2:** Parameter  $N$  of the EEE protocol can be selected according to a given average delay requirement  $D$  from the expression of  $D_N$ .

$$D_N \approx \frac{\lambda \bar{X}^2}{2(1-\rho)} + \frac{(N + \lambda T_w)^2 - N}{2\lambda(N + \lambda T_w)} + \bar{X}$$



# Conclusions

- Develop a new approach to analyze the  $M/G/1$  queue with the vacation time that is governed by the arrival process and the parameters  $\tau$  and  $N$ .
- Derive a generalized P-K formula of mean delay

$$D = \frac{\lambda \bar{X}^2}{2(1-\rho)} + \frac{H''(1)}{2\lambda H'(1)} + \bar{X}$$

- Provide two rules to select appropriate  $\tau$  and  $N$ .
  - EEE 1

$$\frac{N-1}{\tau} = \lambda$$

- EEE 2

$$D_N \approx \frac{\lambda \bar{X}^2}{2(1-\rho)} + \frac{(N+\lambda T_w)^2 - N}{2\lambda(N+\lambda T_w)} + \bar{X}$$



Thanks for your attention